

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2679279
<b>Application Number:</b>	09465607
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9080
<b>Title of Invention:</b>	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION
<b>First Named Inventor/Applicant Name:</b>	TIMOTHY M. KEISER
<b>Customer Number:</b>	64558
<b>Filer:</b>	Antonio Papageorgiou
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	98-HSX001-C1
<b>Receipt Date:</b>	07-JAN-2008
<b>Filing Date:</b>	17-DEC-1999
<b>Time Stamp:</b>	15:38:36
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment - After Non-Final Rejection	98-HSX001-C1_080107_res ponse_to_notice_of_noncom pliant_brief.pdf	23875 <small>d49d83a8cf91453753bbaa08ad266342 2a75d494</small>	no	2

### Warnings:

### Information:

2	Amendment - After Non-Final Rejection	98-HSX001-C1_080107_Request_for_reinstatement_of_appeal.pdf	18094 b539f47b4b53dddc733b0befc50bc0cc58d4c1f9	no	1
Warnings:					
Information:					
3	Supplemental Appeal Brief	98-HSX001-C1_080107_sup_p_appeal_brief.pdf	289943 f5fd116f4bd070e2b88d7fd4b6a0df0d5c4088fb	no	23
Warnings:					
Information:					
4	Supplemental Appeal Brief	98-HSX001-C1_080107_appendix_IX_examiner_answer.pdf	308771 fd08d7a3799e57220c68cb9021163ef43d769249	no	8
Warnings:					
Information:					
Total Files Size (in bytes):			640683		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					